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EUROPEAN COMMISSION FOR DEMOCRACY THROUGH LAW

(VENICE COMMISSION)

FEDERATED AND REGIONAL ENTITIES AND INTERNATIONAL TREATIES

Replies to the questionnaire by:

PORTUGAL, UNITED STATES

Column A State (federal/regional/containing an autonomous entity)

Column B Power of the entities to conclude treaties in the field of international relations (question 1.a)

Column C Source of this power (question 1.b)

Column D Apportionment of treaty-making powers between the central state and the entities (questions 1.c and 1.d)

Column E Potential contracting parties of the entities (question 1.e)

Column F Possibility for entities to accede to multilateral treaties (question 1.f)

Column G Apportionment between the central state and the entities of procedural responsibilities concerning treaties concluded by the entities – need for the central state's approval (question 2)

Column H Settlement of disputes concerning the interpretation of treaties concluded by the entities (question 3.b)

Column I Consultation of the entities before conclusion of a treaty by the central state/participation of the entities in treaty negotiations (questions 4.a - 4.d)

Column J Possibility of delegating the central state's treaty-making powers to the entities (question 4.e)

Column K Apportionment of powers between the central state and the entities with regard to the introduction and implementation of treaties concluded by the central state or the entities/possibility of the central state taking over this responsibility from the entities (questions 3.a and 5)

Column L Practical application of the entities' treaty-making powers (question 6)

Column M Representation of the entities within international organisations/role of the entities in the formulation of state policy within international organisations (question 7.a)

Column N Representation of the entities within the Council of the European Union/role of the entities in the formulation of state policy within the European Union (question 7.b)

| Α | В | С | D | Ε |
|-----------|---------------------------------|---|---|--|
| State | Power of entities (Q.1.a) | Source of power (Q.1.b) | Apportionment of powers (Q.1.c-d) | Other potential contracting parties (Q.1.e) |
| Argentina | Yes | Constitution | Similar to the apportionment of powers at domestic level; treaties concluded by the provinces must not be incompatible with national foreign policy or impinge on national interests | Any state, entity or international organisation |
| Austria | Yes | Constitution | Federation: general powers; <i>Länder</i> : powers within their internal sphere of competence | Neighbouring states or entities |
| Belgium | Yes | Constitution: 1990 special law on institutional reforms; co- operation agreements between the federal state, the regions and the communities | Powers to conclude international treaties corresponds to domestic legislative powers | General right to conclude treaties (<i>ius tractati</i>): states; international organisations; entities within states |

| Α | В | С | D | Ε |
|---|--|--|--|---|
| State | Power of entities (Q.1.a) | Source of power (Q.1.b) | Apportionment of powers (Q.1.c-d) | Other potential contracting parties (Q.1.e) |
| Bosnia and Herzegovina | Yes | Constitution | State: foreign policy; entities: special bilateral relations with neighbouring states with due regard to the sovereignty and territorial integrity of Bosnia and Herzegovina; other agreements with the (general or special) permission of the Parliamentary Assembly of Bosnia and Herzegovina | Special bilateral relations: neighbouring states; other states, international organisations: with the (general or special) permission of the Parliamentary Assembly of Bosnia and Herzegovina |
| Canada | In principle, no | N/A (Not applicable) | General power is vested in the central state. Although the provinces are unable to conclude treaties, the Franco-Canadian Cultural Agreement enables them to conclude agreements with France | See column D |
| Denmark (Faeroe Islands and Greenland) | In conjunction with the central state and for administrative arrangements (technical side) | Laws on the autonomy of the Faeroe Islands and Greenland | General power is vested in the central state. The entities can only take part in international negotiations concerning matters within their jurisdiction | In principle, no restrictions, but in general, Nordic countries |
| Finland (Åland Islands) | No | N/A | Only the central state has treaty-making powers | N/A |

| Α | B | С | D | E |
|-------------|--|-------------------------------|--|--|
| State | Power of entities (Q.1.a) | Source of power (Q.1.b) | Apportionment of powers (Q.1.c-d) | Other potential contracting parties (Q.1.e) |
| Germany | Yes No, but the | Constitution N/A | The Länder may conclude treatieswithin their internal sphere of competence; there is some dispute as to whether the Federation has treaty-making powers in matters within the exclusive jurisdiction of the Länder; under the Lindau agreement, the Federation must request the consent of the Länder if it wishes to conclude a treaty in a matter within the exclusive jurisdiction of the Länder if it wishes to conclude a treaty in a matter within the exclusive jurisdiction of the | All states, entities or international organisations; treaties on the transfer of sovereignty to transfrontier institutions may only be concluded with institutions of border regions |
| Παιγ | regions may undertake development initiatives abroad | | has treaty-making powers | |
| Switzerland | Yes | Constitution | Confederation: general powers; cantons: within their sphere of competence, provided that the Confederation itself has not already concluded a treaty in the field in question | No restrictions (states, territorial authorities, international organisations, etc.) |

| Α | B | С | D | Ε |
|---------------------|---------------------------------|-------------------------------|---|---|
| State | Power of entities (Q.1.a) | Source of power (Q.1.b) | Apportionment of powers (Q.1.c-d) | Other potential contracting parties (Q.1.e) |
| Ukraine (Crimea) | No | N/A | Only the central state has treaty-making powers | N/A |

| Α | F | G | H |
|-----------|--|---|-----------------------------------|
| State | Multilateral treaties (Q.1.f) | Procedural responsibilities (Q.2) | Settlement of disputes (Q.3.b) |
| Argentina | No actual cases, but the possibility exists | Province: Congress is simply informed | Province |
| Austria | Possible | The <i>Land</i> governor; must inform the federal government before beginning negotiations; must request federal government's permission before concluding the treaty; full powers to negotiate and conclude the treaty are conferred by the President of the Republic on the proposal and with the countersignature of the governor; the President of the Republic may delegate this power to the regional government; the <i>Land</i> must denounce the treaty at the request of the federal government; if the <i>Land</i> does not do so, power to denounce the treaty passes to the Federation | No specific procedure |
| Belgium | Yes | A) Treaties concluded exclusively by communities or regions: the communities or regions themselves (with the approval of their respective councils); the King must be informed; the Council of Ministers may suspend the procedure; if the federal state and the entities fail to reach an agreement, the King may confirm suspension of the procedure only in exceptional cases – B) "joint" treaties (involving the federal state): negotiation, signature and approval by the relevant parliamentary assemblies: the federal state and the entities; ratification: the King | The federal state |

| Α | F | G | Н |
|---|---|--|---|
| State | Multilateral treaties (Q.1.f) | Procedural responsibilities (Q.2) | Settlement of disputes (Q.3.b) |
| Bosnia and Herzegovina | Yes, with the special or general permission of the Parliamentary Assembly of Bosnia and Herzegovina | President of the entity, with the consent of the Parliamentary Assembly of Bosnia and Herzegovina; the Parliamentary Assembly of Bosnia and Herzegovina may demand the denunciation of a treaty by an entity | |
| Canada | No | N/A | N/A |
| Denmark (Faeroe Islands and Greenland) | No, but some agreements are concluded on behalf of the entities | Negotiation and signature: central state and entities; ratification: central state; administrative arrangements: the entities may act on their own initiative | Cf. powers for negotiating and concluding treaties |
| Finland (Åland Islands) | No | N/A | N/A |

| Α | F | G | Н |
|-------------|-------------------------------------|--|---|
| State | Multilateral treaties (Q.1.f) | Procedural responsibilities (Q.2) | Settlement of disputes (Q.3.b) |
| Germany | Yes | The <i>Länder</i> ; treaties are subject to federal government approval | The party bound by international obligations (Federation or <i>Länder</i> ; there is some dispute as to whether treaties concluded by <i>Länder</i> are binding on the Federation) |
| Italy | No | N/A | N/A |
| Switzerland | Yes | Confederation (Federal Council); cantons may, however, conclude treaties directly with lower-level authorities in foreign countries; such treaties must be approved by the Federal Council and, if necessary, the Federal Assembly | The authority responsible for concluding the treaty (column G) |

| Α | F | G | Н |
|---------------------|-------------------------------------|-----------------------------------|-----------------------------------|
| State | Multilateral treaties (Q.1.f) | Procedural responsibilities (Q.2) | Settlement of disputes (Q.3.b) |
| Ukraine (Crimea) | No | N/A | N/A |

| Α | Ι | J | K | L |
|-----------|---|------------------------------------|---|---|
| State | Consultation/ participation in negotiations (Q.4.a-4.d) | Delegation of powers (Q.4.e) | Introduction and implementation of treaties (Q.3.a + 5) | Treaties concluded by the entities (Q.6) |
| Argentina | No | No | According to apportionment of treaty-making powers | Mostly treaties with entities of other states (Brazil, Italy) |
| Austria | The <i>Länder</i> must be consulted before the conclusion of any treaty which affects their interests or which entails carrying out measures by them | No | Cf. general domestic jurisdiction; if a <i>Land</i> fails to act, responsibility passes temporarily to the Federation | No examples; transfrontier co- operation has taken place without any formal treaties being concluded; treaties have been concluded between the Federation and the <i>Länder</i> |
| Belgium | Treaties concluded exclusively by the federal state: the community and regional governments are simply informed; "joint" treaties: see column G | No | Cf. domestic and external jurisdiction; the state may temporarily take over responsibility from entities failing to act, so as to ensure compliance with the country's international or supranational obligations | Numerous examples of treaties concluded exclusively by the entities and, in particular, "joint" treaties |

| Α | Ι | J | K | L |
|---|---|--|--|--|
| State | Consultation/ participation in negotiations (Q.4.a-4.d) | Delegation of powers (Q.4.e) | Introduction and implementation of treaties (Q.3.a + 5) | Treaties concluded by the entities (Q.6) |
| Bosnia and Herzegovina | | Yes, by decree of the central state | | Numerous examples |
| Canada | No legal obligation, but in practice, before signing treaties that may impinge on the powers of the provinces, the central authority consults them and allows them to take part in negotiations | No | Introduction: central State; implementation: cf. domestic jurisdiction (dualistic system); the central state may not take over responsibility from the entities | No |
| Denmark (Faeroe Islands and Greenland) | In principle, the government of the entity is consulted if the treaty is intended to apply to the entity; if need be, a territorial reservation is entered | Yes, the negotiation and conclusion of treaties by the entities takes place on behalf of the central state | Cf. Domestic jurisdiction; if an entity fails to implement a treaty, the matter is settled through discussion between central government and the entity | Numerous examples of fishing agreements; trade agreements |
| Finland (Åland Islands) | Consultation if the treaty concerns a matter within the jurisdiction of the autonomous authorities of the Åland Islands or is of particular importance to the Åland Islands (in principle); the Åland Islands may take part in the negotiations if there are special grounds for doing so | No; the Åland Islands are only empowered to make proposals | According to domestic jurisdiction (dualistic system); the central state may not take over responsibility from the Åland Islands | No |

| Α | Ι | J | K | L |
|---------|----------------------|------------|------------------------|----------------|
| State | Consultation/ | Delegation | Introduction and | Treaties |
| | participation in | of powers | implementation of | concluded by |
| | negotiations | (Q.4.e) | treaties (Q.3.a + 5) | the entities |
| | (Q.4.a-4.d) | | | (Q.6) |
| Germany | The agreement of | No | Treaties concluded | Many practical |
| | the Länder is only | | by the Federation: | examples over |
| | needed in the event | | introduction: | the years |
| | of territory being | | central State; | |
| | ceded to a | | implementation | |
| | neighbouring state | | according to | |
| | (plebiscite); Länder | | apportionment of | |
| | are consulted | | powers at domestic | |
| | before the | | level; the Länder | |
| | conclusion of a | | must implement | |
| | treaty affecting | | federal treaties and | |
| | their own position | | the Federation | |
| | | | enjoys supervisory | |
| | | | powers; treaties | |
| | | | concluded by | |
| | | | Länder: the Länder | |
| Italy | Consultation of two | No | In general, the | No |
| | regions with | | state; the regions, | |
| | special status is | | where new | |
| | compulsory in the | | regulations are to | |
| | conclusion of | | be issued in fields | |
| | certain trade | | within the regions' | |
| | agreements; | | jurisdiction, unless | |
| | occasional | | national interests | |
| | consultation of | | are at stake or co- | |
| | other regions | | ordination of | |
| | | | initiatives is | |
| | | | needed; the state | |
| | | | may take over | |
| | | | responsibility from | |
| | | | regions failing to act | |
| | | | act | |
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| Α | Ι | J | K | L |
|-------------|---|------------------|---|---|
| State | Consultation/ | Delegation | Introduction and | Treaties |
| | participation in | of powers | implementation of | concluded by |
| | negotiations | (Q.4.e) | treaties $(Q.3.a + 5)$ | the entities |
| | (Q.4.a-4.d) | - | | (Q.6) |
| Switzerland | The cantons are involved in formulating foreign policy decisions impinging on their powers or fundamental interests; they are informed and consulted; they are involved in international negotiations in matters impinging | | According to the apportionment of powers at domestic level; if treaties are not implemented, the Confederation may take over responsibility from the cantons, even in the case of cantonal treaties | Numerous examples, particularly concerning border cantons |
| Ukraine | on their powers No; Crimea may, | No | The central state; | No |
| (Crimea) | however, make proposals concerning the conclusion of international treaties | | Crimea, with the consent of the Ukrainian Minister of Justice, may make proposals concerning the legislation governing implementation of an international treaty | |

| Α | Μ | N |
|-----------|---|---|
| State | Representation of entities within international organisations (Q.7.a) | Representation of entities within the European Union (Q.7.b) |
| Argentina | No, but observers are sometimes sent | N/A |
| Austria | No | The <i>Länder</i> must be informed when European Union bodies propose initiatives that impinge on <i>Länder</i> powers; if a common position is adopted by the <i>Länder</i> , the association of municipalities and the association of towns, it is normally binding on the Federation; in such matters, the Federation may delegate a representative of the regions to represent the Federation on European Union bodies, with the consent of the Federation representative |
| Belgium | A representative of the entities may be included in the country's delegation to an international organisation; each level of authority concerned may be represented on the Belgian delegation; the delegation is chaired by a representative of the level of authority most affected by the particular issue | Matters within the exclusive jurisdiction of the communities or regions: the regional or community minister, depending on the particular case; matters falling within the joint jurisdiction of two (or more) levels of authority: Belgium is represented by a federal, community or regional minister depending on the particular case, supported by an "assistant" minister representing the other level of authority; in both cases, the communities and the regions are represented on a rota basis; permanent co-ordination takes place within the Ministry of Foreign Affairs |

| Α | Μ | Ν |
|---|--|--|
| State | Representation of entities within international organisations (Q.7.a) | Representation of entities within the European Union (Q.7.b) |
| Bosnia and Herzegovina | No | N/A |
| Canada | Quebec and New Brunswick are members of the Agency for Cultural and Technical Co- operation ("Francophonie"); in other cases, a province may be represented on the Canadian delegation | N/A |
| Denmark (Faeroe Islands and Greenland) | Separate representation within the Nordic Council; representation on Danish delegations, depending on the particular issue and the interests of the entities' respective governments | N/A; the Faeroe Islands and Greenland are not part of the European Union |
| Finland (Åland Islands) | Yes: Nordic Council (Parliamentary Council; Council of Ministers, without voting rights) | The Åland Islands government formulates Finland's position in common policies applicable to the Åland Islands; it is informed about, and helps formulate, Finland's position in matters within its domestic jurisdiction |

| Α | Μ | Ν |
|-------------|---|--|
| State | Representation of entities within international organisations (Q.7.a) | Representation of entities within the European Union (Q.7.b) |
| Germany | Possible | No, but <i>Länder</i> governments are involved, through the <i>Bundesrat</i> , in the decision- making process at European level (to the same extent as in the decision-making process at domestic level) |
| Italy | No | The State/Regions Conference is responsible for appointing the regions' representatives within the Italian Permanent Representation to the European Union; it co- ordinates central government policy on European affairs falling within the regions' jurisdiction |
| Switzerland | No; consultative role before the central state formulates its own position | N/A |

| Α | Μ | Ν |
|---------------------|---|---|
| State | Representation of entities within international organisations (Q.7.a) | Representation of entities within the European Union (Q.7.b) |
| Ukraine (Crimea) | No | N/A |