



Strasbourg, 23 February 2005

Restricted CDL-EL(2004)014rev2 Or. fr.

Study No. 301/2004

EUROPEAN COMMISSION FOR DEMOCRACY THROUGH LAW (VENICE COMMISSION)

ELECTION OBSERVATION FORMS

ELECTION EVALUATION GUIDE¹
by
Mr Claude CASAGRANDE (Expert, France)

on the basis of observations by Mr Bernard OWEN (Expert, France)

¹These questionnaires are drafted for the purpose of traditional election observation, which means for elections where no voting machines are used.

EXPLANATORY MEMORANDUM

Introduction

- 1. In response to the request for simplification of the questionnaires contained in the Venice Commission's election evaluation guide (CDL-AD(2003)010), a simplified version is appended, taking users' comments into account.
- 2. This paper also explains some of the changes made in respect of the previous questionnaires.

General

- 3. The variety of questionnaires reflects the differences in the aims of the organisations which observe elections. For example, election observation by the OSCE's Office for Democratic Institutions and Human Rights (ODIHR) is part of a very detailed election monitoring procedure (with observers in place several weeks before polling day). It therefore differs from election observation by the Council of Europe's Parliamentary Assembly (PACE) and Congress of Local and Regional Authorities (CLRAE). The use of a single questionnaire therefore raises the question of the nature of the mission assigned to (or taken on by) the Organisation. The use of a similar questionnaire by the different organs of the Council of Europe does seem plausible, however, as the general spirit and methods of observation are very similar.
- 4. These questionnaires are designed to permit electronic processing of the replies. This means limiting the use of open questions and focusing on the problems most frequently encountered.
- 5. The questionnaires may be specially adapted to suit a specific election or the electoral laws of a particular country. Here again, we have focused on the situations most frequently encountered in the countries habitually observed by the Council of Europe. They are also designed for both local and national elections. The second questionnaire (appendix II), for example, has a section on the political formations or candidates in the lists, to be filled in before the poll and before the questionnaires are given out to the observation teams, making it possible for observers subsequently to indicate which political formations polling station attendants and observers belong to and how many of these there are for each political formation or candidate. The secretariats responsible for the organisation of a given election observation mission are invited to adapt these observation questionnaires before they are distributed to observers.

Questionnaires

- 6. Three questionnaires are submitted to the observers: opening of the polls, polling day and closing of the polls. Experience shows that this is not a problem. However, the secretariat of the observer delegation must take care to produce enough copies of these documents, especially the questionnaire concerning the conduct of the poll (appendix II), as the teams of observers visit several polling stations between the opening and closing of the poll.
- 7. The layout and legibility of the documents are important for the comfort of observers, in view of the often difficult conditions in which they work. The polling station questionnaire (conduct of the poll) has thus been reduced to **one sheet**, to make it easier to use:
- one side contains closed questions;
- on the other, observers can note down any additional comments.
- 8. Observers in polling stations thus no longer need to turn the questionnaire over. This makes it easier to use. They are invited to fill in the back of the form after leaving the polling station, with the exception, perhaps, of the part of the second questionnaire (appendix II) concerning the political affiliations of members and observers.
- 9. The questions asked generally coincide with those in other organisations' questionnaires.
- 10. To avoid going into too much detail, while at the same time catering for a wide variety of situations and laws, reference is often made to "conformity with the law or the electoral code", which naturally presupposes a minimum of familiarity on the part of observers with the legislation in force in the country whose elections they are observing. When preparing election observation missions, therefore, secretariats are advised to send observers copies of the corresponding electoral laws in advance.
- 11. Observers are also invited to make a simple overall assessment (*very good, quite good, rather poor, and very poor*). This is information the Head of delegation and the secretariat in charge of the observation mission can make use of easily and swiftly. We have intentionally removed the 'average' option, which observers tended to tick too frequently, thereby avoiding the need to take a clear stance.
- 12. On the back of the questionnaire on observation of the vote counting, there is a space for noting the results, but this is optional. It is up to each delegation to decide whether or not this section should be filled in. It does not unduly complicate the task.
- 13. For secretariats preparing future election observation missions, it is important to stress the need to respect the layout of the different questionnaires, each of which fits onto one page, with all the compulsory items on the front and space for notes or optional additional comments on the back.

ANNEX I

Qu	estionnaire on vi	isit to polli	ng station before	opening			
Names of observers:				Team number:	I	I	
				Station Number			
Time of arrival at station: Time of leaving station:							
Number of persons registered on e	electoral list: I	I	on any additional list:	I	I	(moved	from
heading of annex II)							
Was the electoral commission of the	polling station at full stre	ength when you	arrived?		□ Y	ES 🗆	NO
Is the composition of this commission	on in compliance with the	e electoral Code/	Law?		Пγ	ES \square	NO
Are party representatives/candidates	and observers present in	the polling station	on?		ПΥ	ES \square	NO
Are unauthorised persons present in	the polling station?				□ Y	ES \square	NO
If so, who?							
Voting material (ballot papers, ele	ectoral lists, etc.) Have th	nese arrived?			□ Y	ES	NO
Were the quantities sufficient and in	compliance with legal re	equirements?			□ Y	ES \square	NO
Conduct of formal operations Checking of ballot box: did the chait that it was empty before it was sealed					□ Y	ES 🗆	NO
Is the ballot box properly sealed or closed?						ES 🗆	NO
Did they deposit a list with commission members' signatures?						ES 🗆	NO
Electoral list roll Does the electoral list pose a problem of conformity with the law?						ES 🗆	NO
Indicate any problems noted: Are the members of the electoral cor						ES □	NO
Are the other operations required by	the electoral law being p	erformed correct	ily?				NO
Comments (if any)							
Opening Did voting open at the time provided by the law?					□ Y	ES 🗆	NO
Was the polling place ready on time before the scrutiny started?							
If not, comments:							
General atmosphere (underline	e your choice)						
VERY GOOD QUITE GOOD RATHER POOR					VERY	Y POOR	
Other comments by observers							
Signature of observers:							

☐ YES

 \square NO

ANNEX II

Questionnaire to be completed for each polling station Part to be completed in the polling station Team Number: I Names of observers: _ Polling Station: Municipality: _____ Station Name: ____ Station Number: I Time of arrival at polling station: Time of leaving station: Number of persons registered on electoral list: on any additional list: I (moved to heading of annex I) Number of voters at time of arrival: Is it of adequate size? ☐ YES □ NO \square NO Is the polling station easily accessible (e.g. for persons with disabilities)? \square NO Are the configuration and size of the polling station adequate for the voting process? □NO Persons present at the polling station Is the electoral commission's membership in compliance with legal requirements? □ YES □ NO Are they accredited? □ NO ☐ YES □NO Do they comply with the need for diversity of candidates/lists? (Refer to next page for the list of political affiliations) □NO Presence of police inside the polling station..... \square YES \square NO (> if yes, specify facts overleaf) \square NO \square NO Presence of campaign material propaganda (posters, individuals carrying out campaigning activities): - outside and near the polling station \square NO In favour of which party or candidate? Conduct of operations (> note any additional remarks or precisions overleaf) (Optional question according to the legislation in force in the country) ☐ YES \square NO Is the check on inking of voters' fingers effective at the entrance of the polling station? Is the check on voters' identity effective? ☐ YES □NO Are the ballot papers distributed properly? ☐ YES □ NO Is ballot box properly sealed? \square YES \square NO Voting booths: are they placed where they can be seen \square YES \square NO Same question for the ☐ YES □ NO by members of the commission and observers? hallot box Voting booths and ballot box: are they placed where they can be seen by members of the commission and □-YES □ NO Does voting take place in a calm, orderly manner? ☐ YES □NO Have you noticed any cases of group voting? (if yes, complete section 2 on the next page) \square YES □NO **Voting by sick persons or persons with reduced mobility** (Mobile ballot box) Is the mobile ballot box properly sealed? □ YES □NO Other procedures – if any – for sick persons

Overall assessments after the visit (underline your choice)

Do they respect voting secrecy?

VERY GOOD	QUITE GOOD	RATHER POOR	VERY POOR

Signature of observers:

Part to be completed after leaving the polling station

- Political affiliations of	polling stat	tion's members
-----------------------------	--------------	----------------

(Before i	the election,	prepare f	orms to l	be compi	leted be	low i	by ti	hose pol	itical	parties/	candi	idates	in o	contest)
-----------	---------------	-----------	-----------	----------	----------	-------	-------	----------	--------	----------	-------	--------	------	---------	---

Delegates of parties/candidates	I I I I - I
	Local and international observers
2 – Additional comments by observers	
Please note any events out of ordinary (disorder or intimidate	ion in and near the polling station, other activities in the polling
station, level of representation of women among candidates,	among polling station members, access of persons with reduced
mobility to the polling station)	
3 - Facts reported to the observers (Mention identy of person reporting facts if possible)	
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report
4 - Any recommendations to be made in the observati	on report

ANNEX III

Questionnaire on observation of the vote counting							
Name of observers: Polling Station: Municipality: Time of arrival at the station:	Team Number: II Station Number: II						
	Tim ded by the law?			□NO			
	time?						
If not, why not?			YES	□NO			
At the close of voting, were there vo	YES	□NO					
Persons Present							
Did the election commission have a	quorum at the close of polling?		YES	□NO			
Were any representatives/candidates	s admitted to the room after the official	al close of polling?	YES	□NO			
Were they present in order to be able	e to see the votes being counted?		YES	□NO			
Is public (electors) admitted?			YES	□NO			
Counting Operations							
Are the members of the polling stati	on familiar with the procedures?		YES	□NO			
Are unused ballots invalidated before	re counting?		YES	□NO			
Are the seals on the ballot box intac	t prior to opening?		YES	□NO			
Does counting take place calmly?	YES	□NO					
Are the procedures stipulated by the	YES	□NO					
Spoilt ballot papers							
Is the decision to invalidate a ballot	YES	□NO					
Is it made transparently (paper show	n to delegates, observers)?		YES	□NO			
Does the number of spoilt papers se	em to you to be		🗆 excessive	normal			
Do you consider principal grounds f	for invalidating justified?		YES	□NO			
Are spoilt ballots kept?			YES	□NO			
Declaration of results							
Are the results of voting declared cle	early according to the electoral law?		YES	□NO			
Are the results posted at the door of	the polling station in accordance with	the electoral law?	YES	□NO			
Functioning of the electoral co	ommission centralising the resu	<u>lts</u>	☐ YES	□NO			
Transport of documents: is the secu	rity assured and carried out in compli	ance with the electoral law?	YES	□NO			
Are observers and party representatives allowed to be present?			☐ YES	□NO			
Do you notice any distortion of results between the polling station and the central electoral commission?			YES	□NO			
Overall assessment of the qua	lity of counting at the polling st	ation_					
VERY GOOD	VERY PO	OR					
General comments by the observers							

Overleaf: statement of the results of the polling station (optional)

Signature of observers:

Results of the Polling Station (Optional)

Polling Station: Town:	Name of Station:	Station Number: II	
Numbers of registered voters at the station:	II		
Numbers of persons entered on the supplementary li	st: II		
Voters: II	Spoilt ballots: I	I Votes Cast: II	
Results per list or per candidate:		Comments by the observers	
	II		
	I1		
	II		